Format of holding of specific securities Annexure - I Name of the Listed Entity: NORBEN TEA & EXPORTS LIMITED CIN: L01132WB1990PLC048991 Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending: 31.12.2015 Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-**Particulars** Yes* No* Whether the Listed Entity has issued any partly paid up shares? 1 No Whether the Listed Entity has issued any Convertible Securities or Warrants? 2 No Whether the Listed Entity has any shares against which Depository receipts are issued? 3 No Whether the Listed Entity has any shares in locked-in? 4 No 5 Whether any shares held by Promoters are pledged or otherwise encumbered? No

Table I - Summary Statement holding of specified securities

Category	shareholder	shareholders	paid up equity shares held	No. of partly paid- up equity shares held	underlying Depository Receipts	shares held	shares (calculated as per SCRR, 1957)	Number of \\ class of sec	urities			Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Lock share	ed in es	or othe encum	pledged erwise bered	Number of equity shares held in dematerialised form
(i)	(ii)	(iii)	(iv)	(v)	(vi)		(viii) As a % of (A+B+C2)		(ix)		(x)	(xi) = (vii)+(x) As a % of (A+B+C2)		(xii)	(xiii)	(xiv)
								No. of	Voting F	Rights	Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)		As a % of total Shares held (b)	
								Class eg : X	Class eg : Y	Total								
(A)	Promoter & Promoter Group	8	5507322	0	0	5507322	46.87	5507322	0	5507322	46.87	0	46.87	С	0.00	0	0.00	5507322
(B)	Public	25985	6242678	0	0	6242678	53.13	6242678	0	6242678	53.13	0	53.13	C	0.00	0	0.00	3535972
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	C	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	С	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	C	0.00	0	0.00	0
	Total	25993	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	100.00	0	0.00	0	0.00	9043294

Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid- up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No.of Shares Underlying Outstanding convertible securities (including Warrants)	Outstanding full conversion convertible securities securities (as a (including percentage of		Number of Locked in shares		per of es jed or wise mbered	Number of equity shares held in dematerialised form
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)			(ix)		(x)	(xi) = (vii)+(x) as a % of (A+B+C2)		(xii)		(xiii)	(xiv)
									No. o	0		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class X	Class Y	Total								
1	Indian																		
(a)	Individuals/ Hindu Undivided Family		6	2040722			2040722	17.37	2040722	0	2040722	17.37	0	17.37	0	0.00	0	0.00	2040722
	MANOJ KUMAR DAGA		1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501
	SHANTI DEVI DAGA		1	397192	0	0	397192	3.38	397192	0	397192	3.38	0	3.38	0	0.00	0	0.00	397172
	MANOJ KUMAR DAGA		1	45946	0	0	45946	0.39	45946	0	45946	0.39	0	0.39	0		0	0.00	45946
	APARNA DAGA		1	587343	0	0	587343	5.00	587343	0	587343	5.00	0	5.00	0	0.00	0	0.00	587343
	JYOTI DEVI DAGA		1	437300	0	0	437300	3.72	437300	0	437300	3.72	0	3.72	0	0.00	0	0.00	437300
	Pallavi Daga		1	452440	0	0	452440	3.85	452440	0	452440	3.85	0	3.85	0	0.00	0	0.00	452440
(b)	Central Government/ State Government(s)																		
(c)	Financial Institutions/ Banks																		
(d)	Any Other (Specify)																		
(d-i)	Bodies Corporate		2	3466600	0	0	3466600	29.50	3466600	0	3466600	29.50	0	29.50	0	0.00	0	0.00	3466600
	MANGALAM PRODUCTS PVT.LTD.		1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00	0	0.00	1205950
	TONGANI TEA COMPANY LTD.		1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650
	Sub Total(A)(1)			5507322	0	•	5507322	46.87	5507322		5507322	46.87		46.87	_	0.00		0.00	5507322
	GUD I GIAI(M)(1)		*	3307322	0	U	5501 522	40.87	5507522	U	5507522	40.87	"	40.87	"	0.00	U	0.00	550/322
2	Foreign																		
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)																		
(b)	Government																		
(c)	Institutions																		
(d)	Foreign Portfolio Investor																		
(e)	Any Others(Specify)	<u> </u>																	
	Sub Total(A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		8	5507322	0	0	5507322	46.87	5507322	0	5507322	46.87	0	46.87	0	0.00	0	0.00	5507322
	Note :		<u> </u>												-		<u> </u>		1
	(1) PAN would not be display							ı	ı				ı	ı		1	•	1	1
	(2) The term "Encumbrance"	has the same m	neaning as assi	gned under	regulation	28(3) of SEBI (Substancial A	cquisition of Shar	es and Taked	overs) Re	gulations, 201	1	I			1			1

Table III- Statement showing shareholding pattern of the Public shareholder

	Category & Name of the shareholders	PAN	Nos. of sharehold er	No. of fully paid up equity shares held	Partly paid- up equity shares held	No. of shares underlying Depository Receipts	shares held	per SCRR, 1957)	Number of class of s	ecurities	5	ld in each	No.of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	share	ed in s	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialis ed form
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)			(ix)			(xi) = (vii)+(x) As a % of (A+B+C2)		(xii)	(xiii)		(xiv)
									No. of Voting Rights Total as a % of Total Voting Rights				(a)		No.(Not applicable) (a)				
									Class X	Class Y	Total								
1	Institutions		1																<u> </u>
	Mutual Funds/ UTI		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	(0.00	0
(b)	Venture Capital Funds																		
(c)	Alternate Investment Funds																		
(d)	Foreign Venture Capital																		
(e)	Investors Foreign Portfolio																		
(0)	Investors																		
(f)	Financial Institutions/ Banks																		
(g)	Insurance Companies																		
(h)	Provident Funds/ Pension Funds																		
(i)	Any Other (Specify)																		
	0.1.7.4.1(0)(4)			44465			44		444	_	444	0.15	_		_				
	Sub Total(B)(1)		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00		0.00	0
	Central Government/ State Government(s)/ President of India																		
	State Government																		1
	Sub Total(B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00		0.00	0

3	Non-Institutions																		
(a)	Individuals - i. Individual shareholders holding nominal share capital up to Rs 2 lakhs		25812	5187878	0	0	5187878	44.15	5187878	0	5187878	44.15	0	44.15	0	0.00	C	0.00	2545272
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		5	248788	0	0	248788	2.12	248788	0	248788	2.12	0	2.12	0	0.00	C	0.00	24878
	SANTOSH KUMAR DALMIA & SONS HUF		1	128467	0	0	128467	1.09	128467	0	128467	1.09	0	1.09	0	0.00	C	0.00	12846
(b)	NBFCs registered with RBI																		
(c)	Employee Trusts																		
(d)	Overseas Depositories (holding DRs) (balancing figure)																		
(e)	Any Other(Specify)																		
(e-i)	NRI		22	38264	0	0	38264	0.33	38264	0	38264	0.33	0	0.33	0	0.00	C	0.00	38264
(e-ii)	Bodies Corporate		124	739221	0	0	739221	6.29	739221	0	739221	6.29	0	6.29	0	0.00	C	0.00	689521
	Trupati Balaji Constructions Pvt Ltd		1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	2.04	0	0.00	C	0.00	23986
	CREDWYN HOLDINGS (INDIA) PVT LTD		1	170000	0	0	170000	1.45	170000	0	170000	1.45	0	1.45	0	0.00	C	0.00	170000
	M P Vora Shares And Sec P Ltd		1	118668	0	0	118668	1.01	118668	0	118668	1.01	0	1.01	0	0.00	С	0.00	118668
(e-iii)	Clearing Member		19	14127	0	0	14127	0.12	14127	0	14127	0.12	0	0.12	0	0.00	C	0.00	1412
	Sub Total(B)(3)		25982	6228278	0	0	6228278	53.01	6228278	0	6228278	53.01	0	53.01	0	0.00	C	0.00	3535972
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		25985	6242678	0	0	6242678	53.13	6242678	0	6242678	53.13	0	53.13	0	0.00	O	0.00	353597
	Note:															-		 	
	(1) PAN would not be dis	played on webs	site of Stock	k Exchange	e(s)									I.			1		
	(2) The above formats ne institutions holding more	ed to be disclos	sed along w	ith the nan		ollowing person	ns : Institutio	ns/ Non											

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian

Details of the shareholders acting as Persons in Concert including their Shareholding (No. and %)									
Name of shareholder	Category	No. of shares held	Holding %						
NIL									

Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No of chauchaldous	No. of about
No. of shareholders	No. of shares
NIL	
	_
	_

Table IV- Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

(i) (ii) (iii) (iv) (v) (vi) 1 Custodian/ DR Holder (a) Name of DR Holder (if available) (i) (ii) 2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) (a) Name Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) Note:	es shares held calculated as per ring SCRR, 1957) tory	each class of securities		convertible securities (as a percentage of diluted share		Number of equity shares held in demateri alised form (not applicabl
(a) Name of DR Holder (if available) (i) (ii) 2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) (a) Name Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) Note:	(vii) = (viii) As a % of (iv)+(v)+(vi) (A+B+C2)	(ix)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)
(a) Name of DR Holder (if available) (i) (ii) 2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) (a) Name Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) Note:		No. of Voting Rights Total as a % of Total Voting rights		No. As a % of total Shares held	f No.(not applicabl total e) Shares held (Not applicabl e)	
(a) Name of DR Holder (if available) (i) (ii) 2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) (a) Name Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) Note:		Class Class Total				
available) (i) (ii) 2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) (a) Name Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) Note:					+ + +	
(ii) 2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) (a) Name Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) 0 0 0 Note:						
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) (a) Name Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) 0 0 0 Note:						
(under SEBI (Share based Employee Benefit) Regulations, 2014) (a) Name Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) 0 0 0 Note:						
Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2) 0 0 0 Note:						
Public Shareholding (C)= (C)(1)+(C)(2) 0 0 0 Note:						
Note:	0 0 0.00	0 0 0 0,00	0 0.00	0 0.00	0 0 0.00	
	0.00	0.00	0.00	0.00	, 0.00	
(1) PAN would not be displayed on website of Stock Exchange(s)			·	•		
(2) The above format needs to disclose name of all holders holding more				<u> </u>		
(3) W.r.t. the information pertaining to Depository Receipts, the same ma	y be disclosed in the respective columns	to the extent information available ا	e	1		