

## Format of holding of specific securities

Annexure - I

Name of the Listed Entity : NORBEN TEA &amp; EXPORTS LIMITED

Scrip Code : 519528

Name of the scrip : NORBENTEAEXP

Class of Security : EQUITY

Share Holding Pattern Filed under Reg.31(1)(b) for Quarter ending : 31.12.2023

Declaration : The Listed entity is required to submit the following declaration to the extent of submission of information :-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares ?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants ?		No
3	Whether the Listed Entity has any shares against which Depository receipts are issued ?		No
4	Whether the Listed Entity has any shares in locked-in ?		No
5	Whether any shares held by Promoters are pledged or otherwise encumbered ?		No
6	Whether the listed entity has any significant beneficial owner	Yes	



Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total no. of shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								(i)	(ii)	(iii)			(xii)	(xiii)	(xiv)			
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(a)	As a % of total Shares held (b)	(a)	As a % of total Shares held (b)	(xiv)	
								No. of Voting Rights			Total as a % of (A+B+C)							
								Class eg : X	Class eg : Y	Total								
(A)	Promoter & Promoter Group	9	6044759	0	0	6044759	51.44	6044759	0	6044759	51.44	0	0.00	0	0.00	0	0.00	6044759
(B)	Public	24949	5705241	0	0	5705241	48.56	5705241	0	5705241	48.56	0	0.00	0	0.00	0	0.00	3281035
(C)	Non Promoter - Non Public	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(C2)	Shares held by Employees Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total	24958	11750000	0	0	11750000	100.00	11750000	0	11750000	100.00	0	0.00	0	0.00	0	0.00	9325794



Table II- Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Entity type i.e. Promoter OR promoter group entity (except promoter)	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (Including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form		
									(i)	(ii)	(iii)			(xii)	(xiii)			(xiv)	(xv)
							(viii) = (v)+(vi)+(vii)	(ix) As a % of (A+B+C2)	(x)			(xi)	(xii) = (viii)+(xi) as a % of (A+B+C2)	(xiii)	(xiv)	(xv)			
									No. of Voting Rights			Total as a % of Total Voting rights							
									Class X	Class Y	Total			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
<b>1 Indian</b>																			
(a) Individuals/ Hindu Undivided Family			6	2489562	0	0	2489562	21.19	2489562	0	2489562	21.19	0	21.19	0	0.00	0	0.00	2489562
MANOJ KUMAR DAGA	Promoter	ADLPD9792L	1	120501	0	0	120501	1.03	120501	0	120501	1.03	0	1.03	0	0.00	0	0.00	120501
SHANTI DEVI DAGA	Promoter Group	ADSPD0801H	1	670056	0	0	670056	5.70	670056	0	670056	5.70	0	5.70	0	0.00	0	0.00	670056
MANOJ KUMAR DAGA(HUF)	Promoter Group	AACHM7084G	1	45946	0	0	45946	0.39	45946	0	45946	0.39	0	0.39	0	0.00	0	0.00	45946
DIVISHA DAGA	Promoter Group	AJLPD4144L	1	587343	0	0	587343	5.00	587343	0	587343	5.00	0	5.00	0	0.00	0	0.00	587343
JYOTI DEVI DAGA	Promoter Group	ADGPD0232J	1	577300	0	0	577300	4.91	577300	0	577300	4.91	0	4.91	0	0.00	0	0.00	577300
PALLAVI DAGA	Promoter Group	ASKPD3329D	1	488416	0	0	488416	4.16	488416	0	488416	4.16	0	4.16	0	0.00	0	0.00	488416
(b) Central Government/ State Governments)																			
(c) Financial Institutions/ Banks																			
(d) Any Other (Specify)																			
(d-) Bodies Corporate			3	3555197	0	0	3555197	30.28	3555197	0	3555197	30.28	0	30.28	0	0.00	0	0.00	3555197
MANGALAM PRODUCTS PVT LTD	Promoter Group	AABCM6942K	1	1205950	0	0	1205950	10.26	1205950	0	1205950	10.26	0	10.26	0	0.00	0	0.00	1205950
TONGANI TEA COMPANY LTD.	Promoter Group	AAACD9238Q	1	2260650	0	0	2260650	19.24	2260650	0	2260650	19.24	0	19.24	0	0.00	0	0.00	2260650
Anjum Investments Pvt. Ltd	Promoter Group	AACCA1869J	1	88597	0	0	88597	0.75	88597	0	88597	0.75	0	0.75	0	0.00	0	0.00	88597
<b>Sub Total(A)(1)</b>			<b>9</b>	<b>6044759</b>	<b>0</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>6044759</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>0</b>	<b>51.44</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>6044759</b>
<b>2 Foreign</b>																			
(a) Individuals (Non-Resident Individuals/ Foreign Individuals)																			
(b) Government																			
(c) Institutions																			
(d) Foreign Portfolio Investor																			
(e) Any Others(Specify)																			
<b>Sub Total(A)(2)</b>			<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>
<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>			<b>9</b>	<b>6044759</b>	<b>0</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>6044759</b>	<b>0</b>	<b>6044759</b>	<b>51.44</b>	<b>0</b>	<b>51.44</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>6044759</b>
<b>Notes:</b>																			
(1) PAN would not be displayed on website of Stock Exchange(s)																			
(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011																			



Table III- Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form	Sub-categorization of shares (XV)				
								(i)	(ii)	(iii)						Shareholding (No. of shares) under				
						(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x) As a % of (A+B+C2)	(xii)	(xiii)	(xiv)	Sub-category (I)	Sub-category (II)	Sub-category (III)		
								No. of Voting Rights												
								Class X	Class Y	Total	Total as a % of Total Voting Rights		No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total Shares held (Not applicable/b)				
<b>1 Institutions</b>																				
(a) Mutual Funds		3	14400	0	0	14400	0.12	14400	0	14400	0.12	0	0.12	0	0.00	0	0.00	0	0	0
(b) Venture Capital Funds																				
(c) Alternate Investment Funds																				
(d) Banks																				
(e) Insurance Companies																				
(f) Provident/Pension Funds																				
(g) Asset Reconstruction Companies																				
(h) Sovereign Wealth Funds																				
(i) NBFCs registered with RBI																				
(j) Other Financial Institutions																				
(k) Any Other (Specify)																				
<b>Sub Total(B)(1)</b>		<b>3</b>	<b>14400</b>	<b>0</b>	<b>0</b>	<b>14400</b>	<b>0.12</b>	<b>14400</b>	<b>0</b>	<b>14400</b>	<b>0.12</b>	<b>0</b>	<b>0.12</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0.00</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>2 Institutions (Foreign)</b>																				
(a) Foreign Direct Investment																				
(b) Foreign Venture Capital Investors																				
(c) Sovereign Wealth Funds																				
(d) Foreign Portfolio Investors Category I																				
(e) Foreign Portfolio Investors Category II																				
(f) Overseas Depositories (holding DRs) (balancing figure)																				
(g) Any Other																				
<b>Sub Total(B)(2)</b>		<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>



3	Central Government (State Government) (s)																		
(a)	Central Government / President of India																		
(b)	State Government / Governor																		
(c)	Companies or Bodies Corporate where Central / State Government is a promoter																		
	<b>Sub-Total (B)(3)</b>			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
4	<b>Non-institutions</b>																		
(a)	Associate companies / Subsidiaries																		
(b)	Directors and their relatives (excluding independent directors and nominee directors)																		
(c)	Key Managerial Personnel																		
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under Promoter and Promoter Group' category)																		
(e)	Trusts where any person belonging to Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'																		
(f)	Investor Education and Protection Fund (IEPF)																		
(g)	Resident Individuals holding nominal share capital up to Rs 2 Lakhs	24706	4936968	0	0	4936968	42.02	4936968	0	4936968	42.02	0	0	0	0	0	0	0	2539162
(h)	Resident Individuals holding nominal share capital in excess of Rs 2 Lakhs	5	192998	0	0	192998	1.64	192998	0	192998	1.64	0	0	0	0	0	0	0	192998
(i)	Non Resident Indians (NRIs)	22	29481	0	0	29481	0.25	29481	0	29481	0.25	0	0	0	0	0	0	0	29481
(j)	Foreign Nationals																		
(k)	Foreign Companies																		
(l)	Bodies Corporate	77	359624	0	0	359624	3.06	359624	0	359624	3.06	0	0	0	0	0	0	0	349724
	Trupat Bajaj Constructions Pvt.Ltd	AABC10691C	1	239864	0	0	239864	2.04	239864	0	239864	2.04	0	0	0	0	0	0	239864
(m)	Any Other (Specify)																		
	Trusts	1	3	0	0	3	0.00	3	0	3	0.00	0	0	0	0	0	0	0	3
	Resident Individual(HUF)	122	164851	0	0	164851	1.40	164851	0	164851	1.40	0	0	0	0	0	0	0	162751
	Clearing Member	13	6916	0	0	6916	0.06	6916	0	6916	0.06	0	0	0	0	0	0	0	6916
	<b>Sub Total(B)(4)</b>	<b>24946</b>	<b>5690841</b>	<b>0</b>	<b>0</b>	<b>5690841</b>	<b>48.43</b>	<b>5690841</b>	<b>0</b>	<b>5690841</b>	<b>48.43</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3281035</b>
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+(B)(4)	<b>24946</b>	<b>5705241</b>	<b>0</b>	<b>0</b>	<b>5705241</b>	<b>48.56</b>	<b>5705241</b>	<b>0</b>	<b>5705241</b>	<b>48.56</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>3281035</b>

**Note:**

(1) PAN would not be displayed on website of Stock Exchanges

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% of shares or more than 1% of shares of the listed entity. Column no. (XIII) is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) Categorization and disclosure of each shareholder category should be carried out in the order prescribed in the above format. If a shareholder is falling under more than one category, then the same shall be classified in the category falling first in the order prescribed in the above format. Shareholding under any of the categories shall be unique and will not be duplicated under multiple categories.

(5) Sub-categorization of shares under column no. (XV) will be based on shareholding (no. of shares) under the following sub-categories:

(i) Shareholders who are represented by a nominee Director on the board of the listed entity or have the right to nominate a representative (i.e. Director) on the board of the listed entity.

(ii) Shareholders who have entered in to shareholder agreement with the listed entity.

(iii) Shareholders acting as persons in concert with promoters.



Details of the shareholders acting as Persons in Concert including their Shareholding (No. and %)			
Name of shareholder	Category	No. of shares held	Holding %
NIL			



Details of shares which remain unclaimed with details such as number of shareholders, outstanding shares held in demat/ unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of shares
NIL	



Table IV - Statement showing shareholding pattern of the Non Promoter and Non Public shareholder

	Category & Name of the shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (not applicable)	
									(ix)	(x)	(xi) = (vii)+(x) As a % of (A+B+C2)			(xii)	(xiii)	(xiv)			
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)											
									No. of Voting Rights					No.	As a % of total Shares held	No. (not applicable)	As a % of total Shares held (Not applicable)		
									Class X	Class Y	Total	Total as a % of Total Voting rights							
1	Custodian/ DR Holder																		
(a)	Name of DR Holder (if available)																		
(i)																			
(ii)																			
2	Employee Benefit Trust Employee Welfare Trust under SEBI (share based Employee Benefits and Sweat Equity) Regulations, 2021																		
(a)	Name																		
	Total Non-Promoter-Non Public Shareholding (C)= (C)(1)+(C)(2)			0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	
Note :																			
(1) PAN would not be displayed on website of Stock Exchange(s)																			
(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII) is not applicable in the above format.																			
(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available																			





**Annexure**

**Table V - Statement showing details of significant beneficial owners (SBOs)**

Sr. No.	Details of the SBO			Details of the registered owner			Details of holding / exercise of right of the SBO in the reporting company, whether direct or indirect*					Date of creation / acquisition of significant beneficial interest#	
	(I)			(II)			(III)						(IV)
	Name	PAN / Passport No. in case of a foreign national	Nationality	Name	PAN / Passport No. in case of a foreign national	Nationality	Whether by virtue of						
						Shares %	Voting rights %	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence			
1	DIVISHA DAGA	AJLPD4144L	INDIAN	MANGALAM PRODUCTS PVT LTD	AABCM6942K	INDIAN	2.51	2.51	YES	YES	YES	21/12/2006	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		0.47	0.47				31/10/2009	
2	JYOTI DEVI DAGA	ADGPD0232J	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	0.61	0.61	YES	YES	YES	31/07/2002	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.38	4.38				29/04/2000	
3	SHANTI DEVI DAGA	ADSPD0801H	INDIAN	MANGALAM PRODUCTS PVT.LTD.	AABCM6942K	INDIAN	2.35	2.35	YES	YES	YES	31/07/2002	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.43	4.43				29/04/2000	
4	MANOJ KUMAR DAGA	ADLPD9792L	INDIAN	MANGALAM PRODUCTS PVT LTD.	AABCM6942K	INDIAN	1.55	1.55	YES	YES	YES	31/07/2002	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		4.60	4.60				29/04/2000	
				MANOJ KUMAR DAGA (HUF)	AACHM7084G		0.39	0.39				29/04/2000	
5	PALLAVI DAGA	ASKPD3329D	INDIAN	MANGALAM PRODUCTS PVT LTD	AABCM6942K	INDIAN	2.53	2.53	YES	YES	YES	01/08/2011	
				TONGANI TEA COMPANY LTD.	AAACD9238Q		0.47	0.47				31/10/2009	

\* In case the nature of the holding / exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

# This column shall have the details as specified by the listed entity under Form No.BEN-2 as submitted to the Registrar.



**Table VI - Statement showing foreign ownership limits**

	<i>Board approved limits</i>	<i>Limits utilized</i>
As on shareholding date		
As on the end of previous 1st quarter		
As on the end of previous 2nd quarter		
As on the end of previous 3rd quarter		
As on the end of previous 4th quarter		

